COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet _1_ of _9_

In the Application of Wolffe and Collingwood

Serial No.: 09/844,508

Filed: April 27, 2001

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

Art Unit: 1845 1636

Examiner: unassigned

tty Dkt No. 8325-0014

U.S. PATENT DOCUMENTS

Exam.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
les	AA-1	5,972,608	October 26, 1999	Peterson et al.			

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Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Su Cla	b ass	Trans YES	lation NO
25	AB-1	WO 95/09233	April 6, 1995	РСТ			1		
1	AC-1	WO 97/11972	April 3, 1997	РСТ	1				
M	AD-1	WO 00/23464	April 27, 2000	PCT	$\Box \Lambda$				
1	AE-1	WO 00/41566	July 20, 2000	РСТ					
6	AF-1	WO 00/42219	July 20, 2000	РСТ			<u> </u>		
17	AG-1	WO 01/52620	July 26, 2001	РСТ		1			
3	AH-1	WO 01/59094	August 16, 2001	РСТ					
1	Al-1	WO 01/68807	September 20, 2001	РСТ)		

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Date Considered: 3-6-03

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> FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet _2_ of _9_

In the Application of Wolffe and Collingwood

Serial No.: 09/844,508

Filed: April 27, 2001

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

Examiner: upassigned

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Exam. Init.	Ref. Desig.	Description
4	AJ-1	Ahringer, "NuRD and SIN 3 Histone Deacetylase Complexes in Development," <i>Trends in Genetics</i> 16:351-356 (2000)
Sy	AK-1	Bergel et al., "Structural and Functional Definition of the Human Chitinase Chitin-binding Domain," <i>Journal of Biological Chemistry</i> 275(1):514-520 (2000)
M	AL-1	Bird et al., "Methylation-Induced Repression-Belts, Braces, and Chromatin," <i>Cell</i> 99:451-454 (1999)
e	AM-1	Bochar et al., "A Family of Chromatin Remodeling Factors Related Williams Syndrome Transcription Factor," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>97</u> :1038-1043 (2000)
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 3 of 9

In the Application of Wolffe and Collingwood

Serial No.: 09/844,508

Filed: April 27, 2001

Art Unit: 1648

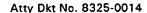
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Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

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A,	AT-1	Deuring et al., "The ISWI Chromatin-Remodeling Protein is Required for Gene Expression and the Maintenance of Higher Order Chromatin Structure <i>In Vivo</i> ," <i>Mol. Cell</i> <u>5</u> :355-365 (2000)
as	AU-1	Fryer et al., "Chromatin Remodelling by the Glucocorticoid Receptor Requires the BRG 1 Complex," <i>Nature</i> 393:88-91 (1998)
B	AV-1	Grunstein, M., "Histone Acetylation in Chromatin Structure and Transcription," <i>Nature</i> 389:349-352 (1997)
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M	AY-1	Hartzog et al., "Nucleosomes and Transcription: Recent Lessons from Genetics," <i>Curr. Opin. Genet. Devel.</i> 7:192-198 (1997)
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In the Application of Wolffe and Collingwood

Serial No.: 09/844,508

Filed: April 27, 2001

Art Unit: 1645 1636 ANNAUN
Examiner: unassigned

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

Exam. Init.	Ref. Desig.	Description
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9	BD-1	Kadam et al., "Functional Selectivity of Recombinant Mammalian SWI/SNF Subunits," Genes & Development 14:2441-2451 (2000)
P	BE-1	Kadonaga, J.T., "Eukaryotic Transcription: An Interlaced Network of Transcription Factors and Chromatin-Modifying Machines," <i>Cell</i> 92:307-313 (1998)
1	BF-1	Kehle et al., "dMi-2, Hunchback-Interacting Protein that Functions in <i>Polycomb</i> Repression," <i>Science</i> 282:1897-1900 (1998)
M	BG-1	Kim et al., "Hybrid Restriction Enzymes: Zinc Finger Fusions to Fok I Cleavage Domain," PNAS 93(3):1156-1160 (1996)
4	BH-1	Kingston et al., "ATP-Dependent Remodeling and Acetylation as Regulators of Chromatin Fluidity," <i>Genes & Development</i> 13:2339-2352 (1999)
1	BI-1	Klug, A., "Zinc Finger Peptides for the Regulation of Gene Expression," <i>J. Mol. Biol.</i> 293:215-218 (1999)
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19	BK-1	Kornberg et al., "Twenty-Five Years of the Nucleosome, Fundamental Particle of the Eukaryote Chromosome," <i>Cell</i> <u>98</u> :285-294 (1999)
19	BL-1	Kouzarides,T., "Histone Acetylases and Deacetylases in Cell Proliferation," <i>Current Opin. Genet. Devel.</i> 9:40-48 (1999)

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In the Application of Wolffe and Collingwood

Serial No.: 09/844,508

Filed: April 27, 2001

Art Unit: 1645 1656
Examiner: unassigned ALBANAN

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

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M	BM-1	Kuo et al., "Roles of Histone Acetyltransferases and Deacetylases in Gene Regulation," <i>BioEssays</i> 20:615-626 (1998)
19	BN-1	Laurent et al., "Functional Interdependence of the Yeast SNF2, SNF5, and SNF6 Proteins in Transcriptional Activation," PNAS 88:2687-2691 (1991)
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1	BS-1	Munshi et al., "Acetylation of HMG I(Y) by CBP Turns Off IFN β Expression by Disrupting the Enhanceosome," <i>Molecular Cell</i> 2:457-467 (1998)
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4	BU-1	Muchardt et al., "ATP-Dependent Chromatin Remodelling: SWI/SNF and Co. are on the Job," <i>Journal of Molecular Biology</i> 293:187-198 (1999)

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> FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet <u>6</u> of <u>9</u>

In the Application of Wolffe and Collingwood

Serial No.: 09/844,508

Filed: April 27, 2001

Art Unit: 1645

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Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

Exam. Init.	Ref. Desig.	Description
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1	BY-1	Ng et al., "Histone Deacetylases: Silencers for Hire," <i>Trends Biochem</i> . Sci. <u>25</u> :121-126 (2000)
19	BZ-1	Pazin et al., "ATP-Dependent Nucleosome Reconfiguration and Transcriptional Activation from Preassembled Chromatin Templates," <i>Science</i> 266:2007-2011 (1994)
h	CA-1	Peterson et al., "Promoter Targeting and Chromatin Remodeling by the SWI/SNF Complex," Current Opinions in Genetics & Development 10:187-192 (2000)
3	CB-1	Peterson et al., "Recruitment of Chromatin Remodeling Machines," <i>Journal of Cellular Biochemistry</i> 78:179-185 (2000);
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In the Application of Wolffe and Collingwood

Serial No.: 09/844,508

Filed: April 27, 2001

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

Art Unit: 1645 /636

Exam. Init.	Ref. Desig.	Description
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Serial No.: 09/844,508

Filed: April 27, 2001

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Examiner: unassigned BHANA

Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

Exam. Init.	Ref. Desig.	Description
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11	CR-1	Tyler et al., "The "Dark Side" of Chromatin Remodeling: Repressive Effects on Transcription," <i>Cell</i> <u>99</u> :443-446 (1999)
1	CS-1	Van Steensel et al., "Identification of <i>In Vivo</i> DNA Targets of Chromatin Proteins Using Tethered Dam Methyltransferase," <i>Nature Biotechnology</i> 18:424-428 (2000)
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M	CV-1	Wade et al., "Histone Acetylation: Chromatin in Action," <i>Trends Biochem Sci</i> <u>22</u> :128-132 (1997)
B	CW-1	Wallberg et al., "Recruitment of the SWI-SNF Chromatin Remodeling Complex as a Mechanism of Gene Activation by the Glucocorticoid Receptor t1 Activation Domain," <i>Molecular and Cellular Biology</i> 20(6):2004-2013 (2000)

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Serial No.: 09/844,508

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Art Unit: 1645

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Title: TARGETED MODIFICATION OF CHROMATIN STRUCTURE

Exam. Init.	Ref. Desig.	Description
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COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

In th Application of Wolffe and Collingwood



Dkt No. 8325-0014

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Sheet _1_ of _1_

Art Unit: 164

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Serial N .: 09/844,508

Filed: April 27, 2001

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Confirmation No. 9058

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U.S. PATENT DOCUMENTS

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